



NOTICE AND PROOF OF CLAIM FOR DISABILITY BENEFITS

Read instructions on page 2 carefully to avoid a delay in processing. You must answer all questions in Part A and questions 1 through 3 in Part B. Health care providers must complete Part B on page 2.

PART A - CLAIMANT'S INFORMATION (Please Print or Type)

1. Last Name: \_\_\_\_\_ First Name: \_\_\_\_\_ MI: \_\_\_\_\_
2. Mailing Address (Street & Apt. #): \_\_\_\_\_
City: \_\_\_\_\_ State: \_\_\_\_\_ Zip: \_\_\_\_\_
3. Daytime Phone #: \_\_\_\_\_ Email Address: \_\_\_\_\_
4. Social Security #: \_\_\_\_\_ - \_\_\_\_\_ - \_\_\_\_\_ 5. Date of Birth: \_\_\_\_ / \_\_\_\_ / \_\_\_\_ 6. Gender:  Male  Female
7. Describe your disability (if injury, also state how, when and where it occurred): \_\_\_\_\_

8. Date you became disabled: \_\_\_\_ / \_\_\_\_ / \_\_\_\_ Did you work on that day?:  Yes  No
Have you recovered from this disability?:  Yes  No If Yes, date you were able to return to work: \_\_\_\_ / \_\_\_\_ / \_\_\_\_
Have you since worked for wages or profit?:  Yes  No If Yes, list dates: \_\_\_\_\_

9. Name of last employer prior to disability. If more than one employer in previous eight (8) weeks, name all employers. Average Weekly Wage is based on all wages earned in last eight (8) weeks worked.

Table with 3 main sections: LAST EMPLOYER PRIOR TO DISABILITY, OTHER EMPLOYER (during last eight (8) weeks), and PERIOD OF EMPLOYMENT. Columns include Firm or Trade Name, Address, Phone Number, First Day, Last Day Worked, and Average Weekly Wage.

10. My job is or was: \_\_\_\_\_ Occupation
11. Union Member:  Yes  No If "Yes": \_\_\_\_\_ Name of Union or Local Number

12. Were you claiming or receiving unemployment prior to this disability?  Yes  No
If you did not claim or if you claimed but did not receive unemployment insurance benefits after LAST DAY WORKED, explain reasons fully: \_\_\_\_\_

If you did receive unemployment benefits, provide all periods collected: \_\_\_\_\_

- 13. For the period of disability covered by this claim:
A. Are you receiving wages, salary or separation pay?  Yes  No
B. Are you receiving or claiming:
1. Unemployment Benefits?  Yes  No 2. Paid Family Leave?  Yes  No
3. Workers' compensation for work-connected disability?  Yes  No
4. No-Fault motor vehicle accident?  Yes  No or personal injury involving third party?  Yes  No
5. Long-term disability benefits under the Federal Social Security Act for this disability?  Yes  No

IF "YES" IS CHECKED IN ANY OF THE ITEMS IN 13, COMPLETE THE FOLLOWING:
I have:  received  claimed from: \_\_\_\_\_ for the period: \_\_\_\_ / \_\_\_\_ / \_\_\_\_ to: \_\_\_\_ / \_\_\_\_ / \_\_\_\_

14. In the year (52 weeks) before your disability began, have you received disability benefits for other periods of disability?  Yes  No
If yes, Paid by: \_\_\_\_\_ from: \_\_\_\_ / \_\_\_\_ / \_\_\_\_ to: \_\_\_\_ / \_\_\_\_ / \_\_\_\_

15. In the year (52 weeks) before your disability began, have you received Paid Family Leave?  Yes  No
If yes, Paid by: \_\_\_\_\_ from: \_\_\_\_ / \_\_\_\_ / \_\_\_\_ to: \_\_\_\_ / \_\_\_\_ / \_\_\_\_

16. If you became disabled while employed or within four weeks of your last day worked, did your employer provide you with your rights under Disability Law within 5 days of your notice or request for disability forms?  Yes  No

I hereby claim Disability Benefits and certify that for the period covered by this claim I was disabled. I have read the instructions on page 2 of this form and that the foregoing statements, including any accompanying statements are, to the best of my knowledge, true and complete.

Claimant's Signature \_\_\_\_\_ Date \_\_\_\_\_

An individual may sign on behalf of the claimant only if he or she is legally authorized to do so and the claimant is a minor, mentally incompetent or incapacitated. If signed by other than claimant, print information below and complete and submit Form OC-110A, Claimant's Authorization to Disclose Workers' Compensation Records.

On behalf of Claimant \_\_\_\_\_ Address \_\_\_\_\_ Relationship to Claimant \_\_\_\_\_

**PART B - HEALTH CARE PROVIDER'S STATEMENT** (Please Print or Type)

**THE HEALTH CARE PROVIDER'S STATEMENT MUST BE FILLED IN COMPLETELY. THE ATTENDING HEALTH CARE PROVIDER SHALL COMPLETE AND RETURN TO THE CLAIMANT WITHIN SEVEN (7) DAYS OF RECEIPT OF THIS FORM.** For item 7-d, you must give estimated date. If disability is caused by or arising in connection with pregnancy, enter estimated delivery date in item 7-e. **INCOMPLETE ANSWERS MAY DELAY PAYMENT OF BENEFITS.**

1. Last Name: \_\_\_\_\_ First Name: \_\_\_\_\_ MI: \_\_\_\_\_
2. Gender:  Male  Female      3. Date of Birth: \_\_\_ / \_\_\_ / \_\_\_
4. Diagnosis/Analysis: \_\_\_\_\_ Diagnosis Code: \_\_\_\_\_
- a. Claimant's symptoms: \_\_\_\_\_
- b. Objective findings: \_\_\_\_\_
5. Claimant hospitalized?:  Yes  No      From: \_\_\_ / \_\_\_ / \_\_\_      To: \_\_\_ / \_\_\_ / \_\_\_
6. Operation indicated?:  Yes  No      a. Type \_\_\_\_\_      b. Date \_\_\_ / \_\_\_ / \_\_\_

7. ENTER DATES FOR THE FOLLOWING	MONTH	DAY	YEAR
a. Date of your first treatment for this disability			
b. Date of your most recent treatment for this disability			
c. Date Claimant was unable to work because of this disability			
d. Date Claimant will again be able to perform work (Even if considerable question exists, estimate date. Avoid use of terms such as unknown or undetermined.)			
e. If pregnancy related, please check box and enter the date <input type="checkbox"/> estimated delivery date OR <input type="checkbox"/> actual delivery date			

8. In your opinion, is this disability the result of injury arising out of and in the course of employment or occupational disease?:  
 Yes  No      If "Yes", has Form C-4 been filed with the Board?  Yes  No

I certify that I am a:

_____ (Physician, Chiropractor, Dentist, Podiatrist, Psychologist, Nurse-Midwife)	_____ Licensed or Certified in the State of	_____ License Number
_____ Health Care Provider's Printed Name	_____ Health Care Provider's Signature	_____ Date
_____ Health Care Provider's Address		_____ Phone #

**IMPORTANT NOTICE TO CLAIMANT - READ THESE INSTRUCTIONS CAREFULLY**

**PLEASE NOTE: Do not date and file this form prior to your first date of disability. In order for your claim to be processed, Parts A and B must be completed.**

1. If you are using this form because you became disabled **while employed** or you became disabled **within four (4) weeks after termination of employment**, your completed claim should be mailed **within thirty (30) days of your first date of disability to your employer or your last employer's insurance carrier**. You may find your employer's disability insurance carrier on the Workers' Compensation Board's website, [www.wcb.ny.gov](http://www.wcb.ny.gov), using Employer Coverage Search.

2. If you are using this form because you became **disabled after having been unemployed for more than four (4) weeks**, your completed claim **MUST** be mailed to: **Workers' Compensation Board, Disability Benefits Bureau, PO Box 9029, Endicott, NY 13761-9029**. If you answered "Yes" to question 13.B.3, please complete and attach Form DB-450.1.

If you do not receive a response within 45 days or if you have questions about your disability benefits claim, please call your employer's insurance carrier. For general information about disability benefits, please visit [www.wcb.ny.gov](http://www.wcb.ny.gov) or call the Board's Disability Benefits Bureau at (877) 632-4996.

**Notification Pursuant to the New York Personal Privacy Protection Law (Public Officers Law Article 6-A) and the Federal Privacy Act of 1974 (5 U.S.C. § 552a).** The Workers' Compensation Board's (Board's) authority to request that claimants provide personal information, including their social security number, is derived from the Board's investigatory authority under Workers' Compensation Law (WCL) § 20, and its administrative authority under WCL § 142. This information is collected to assist the Board in investigating and administering claims in the most expedient manner possible and to help it maintain accurate claim records. Providing your social security number to the Board is voluntary. There is no penalty for failure to provide your social security number on this form; it will not result in a denial of your claim or a reduction in benefits. The Board will protect the confidentiality of all personal information in its possession, disclosing it only in furtherance of its official duties and in accordance with applicable state and federal law

**HIPAA NOTICE** - In order to adjudicate a workers' compensation claim or disability benefits claim, WCL 13-a(4)(a) and 12 NYCRR 325-1.3 require health care providers to regularly file medical reports of treatment with the Board and the insurance carrier or employer. Pursuant to 45 CFR 164.512 these legally required medical reports are exempt from HIPAA's restrictions on disclosure of health information.

**Disclosure of Information:** The Board will not disclose any information about your case to any unauthorized party without your consent. If you choose to have such information disclosed to an unauthorized part, you must file with the Board an original signed Form OC-110A "Claimants Authorization to Disclose Workers' Compensation Records." This form is available on the WCB website ([www.wcb.ny.gov](http://www.wcb.ny.gov)) and can be accessed by clicking the "Forms" link. If you do not have access to the internet please call (877) 632-4996 or visit our nearest Customer Service Center to obtain a copy of the form. In lieu of Form OC-110A, you may also submit an original signed, notarized authorization letter.

An employer or insurer, or any employee, agent, or person acting on behalf of an employer or insurer, who **KNOWINGLY MAKES A FALSE STATEMENT OR REPRESENTATION** as to a material fact in the course of reporting, investigation of, or adjusting a claim for any benefit or payment under this chapter for the purpose of avoiding provision of such payment or benefit **SHALL BE GUILTY OF A CRIME AND SUBJECT TO SUBSTANTIAL FINES AND IMPRISONMENT.**